

10/534407

JC14 Rec'd PCT/PTO 11 MAY 2005

PATENT APPLICATION

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q72882

Kotaro YANO, et al.

Appln. No.: Based on PCT/JP2003/014257

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: May 11, 2005

Examiner: Not Yet Assigned

For: VAPOR GROWN CARBON FIBER, AND PRODUCTION METHOD AND USE THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appl. No.: Based on PCT/JP2003/014257

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report dated May 3, 2005 for the corresponding International Application PCT/JP2003/014257, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Filing Date	May 11, 2005
First Named Inventor	Kotaro YANO
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q72882

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,594,060	A	01/14/1997	Alig et al
		US 2002/146562	A1	10/10/2002	Morita et al
		US 4,572,813	A	02/25/1986	Arakawa
		US 5,643,990	A	07/01/1997	Uehara et al
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	7-150419	A	06/13/1995	SHOWA DENKO KK	Abstract
		WO	02/49412	A	06/27/2002	SHOWA DENKO KK	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Patent Abstracts of Japan vol. 1995, no. 09, 31 October 1995, abstracting JP 7-150419A of 6/13/1995	
		P Hu et al, "Synthesis of tree-like carbon nanotubes with multijunction by a catalytic chemical vapor deposition method", Advanced Nanomaterials and Nanodevices (IUMRS-ICEM 2002, Xi an, China, 06/14/2002 pages 72-81, XP002271203	

Examiner Signature

/Serena Hanor/

Date Considered

02/29/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

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